

01-24-02

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10/22/01

J1057 U.S. PRO

UTILITY
PATENT APPLICATION
TRANSMITTALOnly for new nonprovisional applications
under
37 CFR 1.53(b)

Attorney Docket No.

P01-3904

First Inventor

Clark E. Lubbers et al.

Title

HIGH PERFORMANCE MULTI-
CONTROLLER PROCESSING

Express Mail Label No.

EL910769441US

APPLICATION ELEMENTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification [total pages 42]
(preferred Arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) [total sheets 4]
5. ☒ Oath or Declaration [total sheets 2]
- a. ☒ Newly Unexecuted (original or copy)
 - b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. (See 37 CFR 1.76)
7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies), or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (coversheet/document(s))
10. ☐ 37 CFR. 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document
12. ☐ IDS & Form 1449 ☐ Copies of
IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document(s)
16. ☐ Request & Certification under 35 USC
122(b)(2)(B)(i)
17. ☒ Other: Certificate of Mailing by Express Mail

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below
and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: /

Prior application information: Examiner: Group/Art Unit:

FOR CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **25235** or ☐ Correspondence address below



Name

Address

City

State

ZIP

Country

Telephone

Fax

Name
(Print/Type)

William J. Kubida

Registration No.

29,664

(Signature)

Date

22 October 2001

JCE72 U.S. PRO
10/053991

10/22/01

FEE TRANSMITTAL for FY 2001

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) (\$)**740.00**

Application Number -----
Filing Date Herewith
First Named Inventor Clark E. Lubbers et al.
Examiner Name
Group / Art Unit
Attorney Docket No. P01-3904

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination	
900	900	Request for expedited examination of a design application	

Other fee (specify)

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility Filing Fee	740.00
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$)**740.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
11	-20**= 0	X	= 0	
Independent Claims	2	-3**= 0	X	= 0
Multiple Dependent			= 0	

**or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**.00**

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$)**.00**

SUBMITTED BY

Name (Print/Type) **William J. Kubida**

Signature 

Registration No (Attorney/Agent)

29,664

Complete (if applicable)

Telephone **(719) 448-5900**

Date

22 Oct 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Clark E. Lubbers, R. Brian Schow, Wayne Umland, Randy L.
Roberson and Robert G. Bean

Serial No. _____

Filed: Herewith

For: HIGH PERFORMANCE MULTI-CONTROLLER
PROCESSING

Group Art Unit: _____

Examiner: _____

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

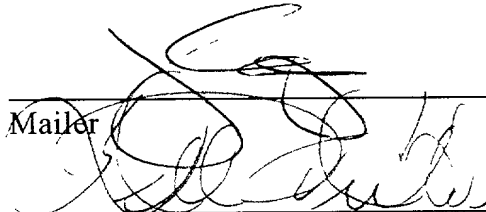
1. Utility Patent Application Transmittal;
2. Fee Transmittal and \$ 740 filing fee;
3. Utility Patent Application;
4. Unexecuted Declaration;
5. 4 sheets of drawings;
6. Return postcard; and
7. Certificate of Mailing By Express Mail

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL910769441US with the United States Postal Service, addressed to The Commissioner of Patents and Trademarks, Washington, D.C., 20231, 22 October 2001.

22 October 2001
Date

22 October 2001
Date

Mailor


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